



JAN 18 2002

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

Complete if Known

Application Number	09/851,856	FEB 05 2002
Filing Date	5/9/2001	Technology Center 2600
First Named Inventor	Robert Mays, Jr.	
Examiner Name	Payne, D.	
Group Art Unit	2633	
Attorney Docket No.	MYS-01-02109	

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

500345

Kenneth C. Brooks

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0.00

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20**=	X	
Multiple Dependent	-3**=	X	

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kenneth C. Brooks	Registration No. (Attorney/Agent)	38,393	Telephone	512 527-0104
Signature	<i>Kenneth C. Brooks</i>	Date	12/19/01		

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# 6 Supp Amnt B  
2-19-02  
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FEB 05 2002  
Technology Center 2600

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert Mays, Jr.

Serial No: 09/851,856

Filed: May 9, 2001

For: **FILTERING TECHNIQUE FOR FREE SPACE  
COMMUNICATION**

**PATENT APPLICATION**

Group Art Unit: 2633

Examiner: Payne, D.

**SUPPLEMENTAL PRELIMINARY AMENDMENT**

Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

In response to a Notice of Non-Compliant Amendment mailed November 6, 2001,  
please preliminarily amend the above-identified patent application before examination.

The amendments are clearly identified in the attached Chart of Amendments.

**IN THE DRAWINGS:**

Applicants propose to amend the drawings and have included a separate letter to  
the Chief Draftsperson to that end. The proposed changes are indicated in red.

Specifically, Figure 10 has been bifurcated and relabeled as Fig. 10A and Fig. 10B. No  
new matter has been introduced as a result of this amendment. Applicants request that  
the amendments to the drawings be entered once approved.

**IN THE SPECIFICATION:**

On page 3, line 27, kindly cancel "Fig. 10" and insert - -Figs. 10A and 10B- - in  
place thereof so that the paragraph corresponding thereto reads as follows:

B1  
Figs. 10A and 10B is perspective view of the  
communication system shown above in Fig. 1, in accordance  
with an alternate embodiment;